

Internal Audit Progress Report

REPORT TO AUDIT AND STANDARDS COMMITTEE



DATE	07/03/2018
PORTFOLIO	Resources and Performance Management
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PURPOSE

1. To inform members of the work undertaken by Internal Audit for the period 1st October to 31st December 2017.

RECOMMENDATION

2. The Committee considers the progress report and comments on its contents.

REASONS FOR RECOMMENDATION

3. Members can monitor the performance of the Internal Audit Section.

SUMMARY OF KEY POINTS

Audit Reports

4. From 1st October to 31st December 2017 there have been 3 audit reports produced. Details of Burnley Council audits are given in **Appendix 1**.

Performance Statistics

5. The comparison between actual and planned audits can be seen in **Appendix 2**. A number of audits have started, and to date have been completed but due to timing will form part of later quarter statistics to be reported.
6. Performance indicators for Internal Audit are reported in the Finance balanced scorecard. The service currently reports the number of audit reports produced – 13 against an annual target of 22 and the percentage of high-priority actions from audit action plans implemented which was 100% and has a target of 100%.

Other Activity

7. The Internal Audit Manager along with legal officers continue to review and co-ordinate action to prepare the Council for compliance with new Data Protection regulations. These will become a requirement at the end of May 2018.

8. The Internal Audit Manager has completed and attained the General Data Protection Regulation Practitioner Certificate. He is also now the Data Protection Officer for the Council.
9. As in the previous year, Internal Audit have committed to assisting the remainder of Finance to produce the 2017/18 Statement of Accounts. The Internal Audit team assisted in delivering a much earlier audited 2016/17 Statement of Accounts; this included contributing to the all member workshop on Statement of Accounts. These were approved by this committee in July rather than as previous years in September.
10. Work is being undertaken to update the Strategic Risk Register to update it for the 2018/19 Strategic Plan and Service and Personnel changes. This will then be presented to this committee at the next committee meeting.
11. As reported at the last meeting the work on the Annual Governance Statement 2017 18 has started. A sample of the new format AGS is provided in Appendix 3.

FINANCIAL IMPLICATIONS AND BUDGET PROVISION

12. None

POLICY IMPLICATIONS

13. None

DETAILS OF CONSULTATION

14. None

BACKGROUND PAPERS

15. None

FURTHER INFORMATION

PLEASE CONTACT:

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